

HH MP# #3
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Lazarev et al.

Serial No. 10/043,959

Filing Date: January 8, 2002

For: *Device And Method For Forming
Anisotropic Films*



Examiner: not assigned
Art Unit: 2871

**RESPONSE TO NOTICE TO FILE
MISSING PARTS**

Date: March 19, 2002

CERTIFICATE OF MAILING

I hereby certify that this document or fee is being deposited with the United States Postal Service as First Class Mail address to Box Missing Parts Fee, Assistant Commissioner for Patents, Washington, D.C. 20231 on March 19, 2002.

SIGNED

Kathryn Marley
Kathryn Marley

BOX MISSING PARTS FEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts of Nonprovisional Application mailed March 5, 2002, copy enclosed, we enclose the fully executed Declaration for Patent Application. Also enclosed is a Power of Attorney by Assignee.

Also enclosed is a copy of the assignment as mailed to the Assignment Branch for recordation on March 13, 2002.

Our check in the amount of \$65 to cover the Missing Parts fee for a small entity is enclosed. The Commissioner is hereby authorized to charge any other fees determined to be due to Deposit Account 06-1300 (Order No. A-71213/AJT).

Respectfully submitted,

William E. Nuttle, Reg. No. 42,932
/for/ Aldo J. Test, Reg. No. 18,048

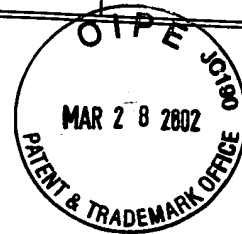
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP
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Telephone: (650) 494-8700

In the United States Patent and Trademark Office

File No.: A-71213/AJT	U.S. Application No. 10/043,959	Filing Date: January 8, 2002
Date Due:	Date Mailed: 14 JUL 2002	Express Mail No.:
Applicant: Lazarev et al.		
Title: Device And Method For Forming Anisotropic Films		Optiva, Inc.
Enclosures: <input checked="" type="checkbox"/> Assignment Recordation Cover Sheet; <input checked="" type="checkbox"/> Executed Assignment; <input checked="" type="checkbox"/> Check in the amount of \$40 for Recordation Fee; <input checked="" type="checkbox"/> Certificate of Mailing and Return Postcard		Official Use Only

In the United States Patent and Trademark Office

File No.: A-71213/AJT	U.S. Application No.: 10/043,959	Filing Date: January 8, 2002
Date Due:	Date Mailed: March 18, 2002	Express Mail No.:
Applicant: Lazarev et al.		Optiva, Inc.
Title: Device And Method For Forming Anisotropic Films		
Enclosures: <input checked="" type="checkbox"/> Assignment Recordation Cover Sheet; <input checked="" type="checkbox"/> Executed Assignment; <input checked="" type="checkbox"/> Check in the amount of \$40 for Recordation Fee; <input checked="" type="checkbox"/> Certificate of Mailing and Return Postcard		Official Use Only



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5010.000 03/12/02 A-71213/AJT AR/5986/Optiva \$40.00

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03/12/02

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Swick R. Stewart

AUTHORIZED SIGNATURES

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,959	01/08/2002	Pavel I. Lazarev	A-71213/AJT

CONFIRMATION NO. 6406

FORMALITIES LETTER



OC000000007580802

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San Francisco, CA 94111-4187



Date Mailed: 03/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Tim Chan
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/29/2002 NROCHA1 00000012 10043959

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